



**SAFE BANKING
SYSTEMS**

// part of Accuity

SAFE Forensic Analysis[®]

Expedite alert investigation, rationalize costs and mitigate risk with SAFE Forensic Analysis, a specialized service for time-sensitive AML compliance activities.

STRENGTHEN COMPLIANCE

Institutions must demonstrate a risk-based approach to verifying customer information. This includes implementing Know Your Customer (KYC) and Enhanced Due Diligence (EDD) processes to meet anti-money laundering compliance regulations. However, missing a potential threat when screening a customer database for sanctions, Politically Exposed Persons (PEPs) and Reputationally Exposed Persons (REPs) in adverse media could trigger regulatory action and fines for non-compliance—and compromise the reputational integrity and financial stability of even the most respected organization.

SPOTLIGHT ON RISK

SAFE Forensic Analysis[®] is a specialized service that offers a slice-in-time snapshot of risk in a customer database. While many screening solutions generate an unwieldy number of false positives that can take months to adjudicate, SAFE Forensic Analysis from Safe Banking Systems is different. By applying SBS' patented methodology to rank alerts based on their risk and determining the likelihood of being a true match, SAFE Forensic Analysis provides a more accurate and effective solution to highlight risk.

SAFE Forensic Analysis offers a proven framework from which to build a comprehensive anti-money laundering and compliance program. It provides an efficient approach to vet customers, employees and vendors against government sanctions and reference databases of PEPs and REPs.

The service enables compliance staff to target investigation where it is most warranted—true matches that pose the greatest risk. At the same time, SAFE Forensic Analysis arms organizations with valuable insight to expedite investigation for regulatory review, M&A due diligence, look-backs and other time-critical activities.

STREAMLINE INVESTIGATION

SAFE Forensic Analysis is so effective at identifying potential risk that it dramatically decreases the need for first- and second-level review. Resources once allocated to lower-level tasks can be reassigned to more complex activities.

With its reduced turnaround time for alert review and validation, SAFE Forensic Analysis saves valuable time and money without compromising accuracy and effectiveness. It is a nimble solution for financial institutions and organizations that need to:

- Perform M&A due diligence
- Conduct a look-back
- Undergo a compliance self-audit
- Validate an AML program
- Prepare for regulatory changes or examination
- Remedy a regulatory action
- Reduce alert backlog

TECHNOLOGY AND EXPERTISE DRIVE RESULTS

A winning combination of technology and humans powers SAFE Forensic Analysis. Artificial intelligence and the advanced analytics in SAFE Alert Manager[®]—which incorporates two SBS proprietary solutions, SAFE Exposure Index[®] and SAFE Strength Index[®]—provide the technology backbone. The exposure ranking and probabilistic scoring from these solutions provide a hierarchy of risk that facilitates analysis. Alerts with the

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highest risk and greatest relevance are quickly identified as true matches and flagged for further action while false positives are significantly reduced.

Although software drives the process, it is the expertise of Safe Banking Systems' professionals that makes the difference. In addition to alert remediation, our highly skilled AML certified analysts work closely with each client to review customer data, risk thresholds and other criteria to develop a strategy tailored to the specific requirements of each organization. By leveraging both technology and expertise, SAFE Forensic Analysis provides valuable insight and more meaningful results.

SECURE ANALYSIS

For maximum security and data integrity, SBS' trained professionals run SAFE Forensic Analysis from a highly secure environment at our SOC 2 certified data center using an extracted flat file of your full CIF. SBS can also accommodate cases where security policy or jurisdictional privacy rules prevent data from leaving the premises.

BENEFITS

Knowing your risk is a starting point for numerous activities. Since SBS runs the analysis on the full customer information file (CIF)—not just new clients or previously determined high-risk clients—you'll have a comprehensive picture of risk to proactively plan for resources following a merger or acquisition. In addition, SAFE Forensic Analysis:

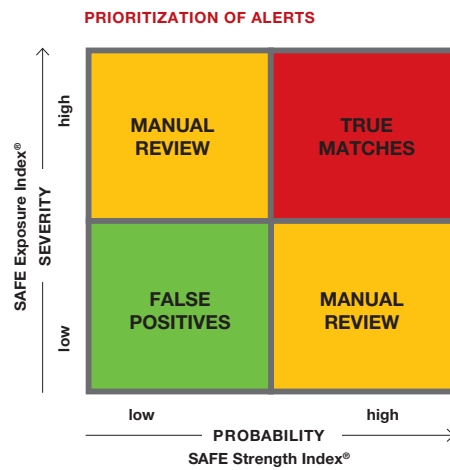
- Speeds discovery for time-sensitive due diligence
- Delivers high quality, true hits with fewer false positives

- Optimizes resources by virtually eliminating lower-level investigation
- Withstands regulatory scrutiny
- Prioritizes alert review for more productive risk mitigation

THE SBS DIFFERENCE

Safe Banking Systems, a technology enabled company, has been thinking ahead of the risks to expose money laundering and combat financial crime for nearly 20 years. Now part of Accuity, SBS provides financial and other organizations with innovative AML and compliance solutions that identify, monitor and control relationship risk across the enterprise. As a longstanding partner of Fircosoft, an Accuity brand, SBS leverages Firco Filtering Technology in its solutions and services.

To learn more about SAFE Forensic Analysis and the full suite of SBS' solutions for entity resolution, watch list filtering and Know Your Customer, visit www.safe-banking.com or contact us at sbs.sales@safe-banking.com.



DATA VISUALIZATION OF PRIORITIZED ALERTS

