SAFE List Management

Go beyond list consolidation with a comprehensive, automated service that optimizes data for more effective watch list screening and targeted investigation.

WATCH LIST PAIN POINTS

Poor data quality, inconsistent formats and ongoing updates are among the challenges that make gathering and managing watch lists one of the most time-consuming tasks of an organization's Know Your Customer (KYC) obligations. From sanctions and Politically Exposed Persons (PEPs) to adverse media, FinCEN 314a and custom lists, the number of data sources keeps growing. Staying abreast of list downloads and the constant stream of changes while facilitating risk mitigation is where SAFE List Management excels.

OPTIMIZED FOR FILTERING

SAFE List Management takes the pain out of list management. An automated service, SAFE List Management downloads data from government sanctions lists, commercial databases of sanctions, PEPs and adverse media as well as other sources of high-risk entities on a daily basis, saving organizations valuable time on list consolidation. Data is monitored for updates and records are validated daily to ensure you're always working with the most current, accurate information. Once consolidated and optimized, data is ready for scanning.

Outsourcing list management to SBS provides a manageable, cost-effective solution that facilitates watch list filtering. Benefits include:

- Fully automated for improved operational efficiency
- Quality assurance and validation checks
- Optimized lists for more accurate filtering results and fewer false positives
- Fast processing of OFAC and sanctions changes
- Comprehensive archive facilitates look-backs
- Prioritized exposure ranking to identify highest risk

FLEXIBLE AND DEPENDABLE

Designed with maximum flexibility to meet the diverse needs of each organization, SAFE List Management is system agnostic. It works seamlessly with any filtering engine or anti-money laundering solution to complement existing systems. In addition, SBS can deliver whatever lists an organization wants in whatever format is needed. List options in any combination or subset include:

- Government sanctions
- Third-party PEP and high-risk databases
- Marijuana related businesses and other specialized lists
- Internal lists

SBS also offers Sanctions for Payments, a list consolidation and management service for real-time screening. Data specifically prepared and formatted for real-time filters produces a higher quality result set for more effective risk management.

RESULTS DELIVERED

Integral to SAFE List Management are three critical processes that SBS performs daily to verify the integrity of data and ensure it is well structured. These processes bring discipline to data management, improve filtering results and contribute to the overall effectiveness of the service.

For more information, contact Safe Banking Systems at
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Data Optimization and Enhancement

SBS performs daily validations and enrichment on all new and changed records in reference databases to ensure data is properly formatted for the selected scanning engine.

Validations and enrichment are conducted on name fields, address and location fields, and other data fields to correct for misspellings, extraneous text, missing information and other details.

Data Formatting

The ability to customize information is a key feature of SAFE List Management. Whatever data structure an organization needs—from standard file formats to specific third-party filter requirements—can be accommodated quickly and economically.

Data Extraction

Organizations can scan against all records in a reference database for a broad view of risk or choose to extract and customize filtering subsets to meet specific business criteria. SAFE List Management delivers high-quality matches whether scanning against customized lists, subsets of sanctions, PEPs and Reputationally Exposed Persons (REPs) in adverse media, or the entire reference database.

BEYOND NAME MATCHING

SBS brings greater insight to list management with the patented risk ranking methodology in SAFE Exposure Index®. This powerful solution, which is incorporated into SAFE List Management, uses proprietary algorithms to objectively rank the severity of each match. It provides organizations with a prioritized, hierarchy of risk from which to begin review. Alerts with the highest risk "rise to the top" where they can be flagged for immediate action.

By delivering more accurate results with fewer false positives, SAFE Exposure Index mitigates risk and increases the overall efficiency of an organization's AML compliance operations.

INTERNAL LIST MANAGEMENT

SAFE Internal List Manager is a time-saving, web-based utility that automates the upload of internal lists and facilitates edits to generate filter-ready files. Unique functionality for searching duplicate records, one-click merging of duplicates into a single record and the ability to clone and link records further streamline internal list management.

AUDIT AND CONTROL

SAFE List Archive, a secure repository of all SBS managed sanctions lists and institution-specific lists, is available to all SAFE List Management users. It displays each update with a comparison to the previous update and notes all new, changed and deleted records so a complete history of actions is always available. By offering a window into the dynamic changes that affect these lists, SAFE List Archive:

- Addresses the daily challenges of validating and managing lists
- Provides an audit trail of activity for internal and regulatory review
- Offers a full record history to facilitate look-backs

All data is original and unedited from the issuing government agency. Downloadable reports and original source files, including OFAC updates beginning February 2000, are available through SAFE List Archive.

THE SBS DIFFERENCE

Safe Banking Systems, a technology enabled company, has been thinking ahead of the risks to expose money laundering and combat financial crime for nearly 20 years. Now part of Accuity, SBS provides financial and other organizations with innovative AML and compliance solutions that identify, monitor and control relationship risk across the enterprise. As a longstanding partner of Fircosoft, an Accuity brand, SBS leverages Firco Filtering Technology in its solutions and services.

To learn more about SAFE List Management and the full suite of SBS' solutions for entity resolution, watch list filtering and Know Your Customer, visit www.safe-banking.com or contact us at sbs.sales@safe-banking.com.

SAFE List Archive demo@safe-banking.com [Logout]

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URL Lists

U.S. Department of the Treasury

List / Issuing Agency	Last Updated	PDF	Download	Archive
OCC - Office of the Comptroller of the Currency	2018-01-08 00:00:00 4 Records Changed 173 Total Count	PDF	PDF	8 Feeds
OCC Unauthorized Mexican Financial Entities	2011-12-01 00:00:00 53 Records Changed 53 Total Count	PDF		1 Feed
OFAC - Office of Foreign Assets Control SDN List	2018-09-07 10:30:00 2 Records Changed 6,518 Total Count	PDF	XML	1,012 Feeds
OFAC - Office of Foreign Assets Control Consolidated Non-SDN List	2018-08-15 11:05:00 23 Records Changed 638 Total Count	PDF	XML	13 Feeds
OFAC - Foreign Sanction Evaders	2014-12-17 10:29:34 8 Records Changed 19 Total Count	PDF		2 Feeds
OFAC Sectoral Sanctions Identifications	2014-09-12 10:13:00 14 Records Changed 14 Total Count	PDF		2 Feeds
OFCTY - Office of Foreign Assets Control Country Sanctions	2010-10-08 16:29:02 9 Records Changed 6 Total Count	PDF		1 Feed
OFAC - Part 561 List (IFSR)	2013-05-17 00:00:00 1 Record Changed 1 Total Count	PDF		2 Feeds

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